

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, Room 240, MS 2-13
SACRAMENTO, CA 95814
TTY (916) 654-2054 (For the Hearing Impaired)
(916) 654-1897



February 1, 2017

Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, CA 95814
Attention: Peggy Collins, Principal Consultant

Re: Supplemental Budget Information

Dear Joint Legislative Budget Committee:

Pursuant to Assembly Bill 1606, Chapter 26, Statutes of 2016, enclosed is the Department of Developmental Services' (DDS) supplemental budget information. More specifically, DDS provides information by regional center as follows:

- Fiscal Year 2015-16 total and per capita expenditures for operations and purchase of services, including the number of persons being served;
- Fiscal Year 2016-17 allocations of total and per capita funding for operations and purchase of services, including the number of persons being served;
- Fiscal Year 2015-16 and 2016-17 allocations of community placement plan funding, including a breakdown of funding for startup, assessment, placement, and deflection; and
- Staff information.

If you have any questions on this supplemental budget information, please do not hesitate to contact John Doyle, Chief Deputy Director, at (916) 654-1897.

Sincerely,

*ORIGINAL Signed by John Doyle
for*

NANCY BARGMANN,
Director

Enclosure

cc: Daniel Alvarez, Secretary of the Senate

“Building Partnerships, Supporting Choices”

**Department of Developmental Services
Fiscal Year 2015-16 Expenditures**

	Operations		Purchase of Service (POS)		Consumers per June 2016 Client Master File Status 1 and 2 ^{3/}
	Expenditures ^{1/}	Calculated \$ per Consumer	Expenditures ^{1/}	Calculated \$ per Consumer ^{2/}	
	A	B = A/E	C	D = C/E	
Alta California	\$36,688,715	\$1,772	\$293,275,207	\$14,164	20,706
Central Valley	33,619,987	1,921	194,869,076	11,133	17,503
East Bay	35,420,707	1,936	308,835,741	16,876	18,300
Eastern L.A.	21,966,075	2,029	170,677,963	15,764	10,827
Far Northern	15,767,979	2,186	114,899,250	15,932	7,212
Lanterman	18,776,676	1,997	132,710,911	14,117	9,401
Golden Gate	20,907,283	2,421	216,068,180	25,022	8,635
Harbor	25,532,925	2,131	134,416,788	11,221	11,979
Inland	55,714,834	1,811	324,479,512	10,549	30,759
Kern	17,429,482	2,229	136,387,477	17,441	7,820
North Bay	19,054,302	2,364	150,410,717	18,664	8,059
North L.A.	36,954,054	1,660	320,704,766	14,407	22,261
Orange County	34,201,695	1,750	287,874,792	14,729	19,545
Redwood Coast	9,135,865	2,603	83,239,960	23,715	3,510
San Andreas	29,391,686	1,849	314,997,034	19,816	15,896
San Diego	43,605,055	1,796	272,645,106	11,227	24,284
San Gabriel	26,260,728	2,103	172,052,718	13,777	12,488
South Central L.A.	26,116,021	2,001	164,348,976	12,593	13,051
Tri-Counties	27,885,881	2,137	219,034,895	16,788	13,047
Valley Mountain	23,381,097	1,900	141,005,582	11,457	12,307
Westside	18,750,052	2,251	152,805,576	18,346	8,329
Total	\$576,561,096	\$1,948	\$4,305,740,228	\$14,550	295,919

^{1/} Operations and POS Expenditures as of 11/30/16 do not reflect final expenditures; Fiscal Year 2015-16 is open for liquidation until 06/30/2018.

^{2/} Actual POS costs per consumer is influenced by many variables including, but not limited to, geographic location, living arrangement, and individual service needs.

^{3/} Consumer Population as of June 30, 2016, includes Early Start and Active Consumers of all ages.

**Department of Developmental Services
Fiscal Year 2016-17 Allocations*
through the C-1 Contract 08/26/16**

	<i>Non-CPP</i>			Consumers per December 2016 Client Master File Status 1 and 2 ^{1/}	<i>Non-CPP</i>	
	Operations	Purchase of Services (POS)	Total Operations and POS		Operations Dollars Allocated per Consumer	POS Dollars Calculated per Consumer ^{2/}
	A	B	C = A + B		D	E = A/D
Alta California	\$43,517,183	\$335,164,025	\$378,681,208	21,301	\$2,043	\$15,735
Central Valley	39,242,311	227,505,686	266,747,997	17,882	2,195	12,723
East Bay	41,457,675	349,950,641	391,408,316	18,625	2,226	18,789
Eastern L.A.	25,384,318	191,454,305	216,838,623	11,025	2,302	17,365
Far Northern	17,572,963	132,266,609	149,839,572	7,300	2,407	18,119
Lanterman	21,487,172	149,278,875	170,766,047	9,529	2,255	15,666
Golden Gate	22,416,826	248,724,657	271,141,483	8,736	2,566	28,471
Harbor	28,594,581	157,030,773	185,625,354	12,362	2,313	12,703
Inland	64,347,208	384,415,853	448,763,061	31,487	2,044	12,209
Kern	18,670,213	155,777,786	174,447,999	7,892	2,366	19,739
North Bay	19,760,158	176,291,452	196,051,610	8,296	2,382	21,250
North L.A.	47,525,548	366,997,637	414,523,185	22,969	2,069	15,978
Orange County	42,371,277	323,904,897	366,276,174	20,061	2,112	16,146
Redwood Coast	10,084,254	92,276,793	102,361,047	3,628	2,780	25,435
San Andreas	35,139,745	359,834,403	394,974,148	16,149	2,176	22,282
San Diego	50,808,406	320,622,858	371,431,264	25,007	2,032	12,821
San Gabriel	28,746,521	202,762,673	231,509,194	12,698	2,264	15,968
South Central L.A.	33,177,033	187,090,000	220,267,033	13,781	2,407	13,576
Tri-Counties	31,440,973	247,468,922	278,909,895	13,313	2,362	18,589
Valley Mountain	28,002,364	166,307,895	194,310,259	12,600	2,222	13,199
Westside	20,548,629	172,083,260	192,631,889	8,404	2,445	20,476
Total	\$670,295,358	\$4,947,210,000	\$5,617,505,358	303,045	\$2,212	\$16,325

*Allocations reflect regional centers' contractual spending authority rather than actual expenditures.

^{1/}Consumer Population as of December 31, 2016, includes Early Start and Active Consumers of all ages.

^{2/}Actual POS costs per consumer is influenced by many variables including, but not limited to, geographic location, living arrangement, and individual service needs.

**Department of Developmental Services
Fiscal Year 2015-16 Community Placement Plan (CPP) Allocations*
through the B-3 Contract 01/04/2016**

	Operations	Purchase of Services					Total Ops + POS
		Start Up	Assessment	Placement	Deflection	Sub-Total	
		A	B	C	D	E	
Alta California	\$902,001	\$8,091,857	\$18,000	\$819,977	\$58,485	\$8,988,319	\$9,890,320
Central Valley	686,612	1,125,000	20,300	1,008,882	20,250	2,174,432	2,861,044
East Bay	1,460,131	15,889,177	134,330	1,389,687	0	17,413,194	18,873,325
Eastern L.A.	759,474	775,645	81,300	519,295	0	1,376,240	2,135,714
Far Northern	561,383	2,578,170	17,180	353,495	10,800	2,959,645	3,521,028
Lanerman	383,280	750,000	60,668	2,539,105	5,100	3,354,873	3,738,153
Golden Gate	1,341,144	20,449,678	61,272	1,377,594	100,245	21,988,789	23,329,933
Harbor ^{1/}	1,642,453	3,444,148	29,200	1,054,012	19,800	4,547,160	6,189,613
Inland	555,182	1,100,000	75,000	724,033	0	1,899,033	2,454,215
Kern	515,007	572,000	142,550	539,166	73,991	1,327,707	1,842,714
North Bay	1,372,709	13,519,747	28,217	689,345	0	14,237,309	15,610,018
North L.A.	622,535	500,000	160,675	1,355,242	0	2,015,917	2,638,452
Orange County	166,954	290,000	0	767,881	0	1,057,881	1,224,835
Redwood Coast	208,599	165,000	14,340	31,545	49,620	260,505	469,104
San Andreas	558,217	3,614,366	0	475,591	0	4,089,957	4,648,174
San Diego	1,144,938	1,950,000	256,000	415,231	0	2,621,231	3,766,169
San Gabriel	1,036,634	900,000	79,155	721,350	9,920	1,710,425	2,747,059
South Central L.A.	545,281	225,000	172,000	1,776,500	1,770	2,175,270	2,720,551
Tri-Counties	887,509	455,000	66,000	732,133	42,157	1,295,290	2,182,799
Valley Mountain	389,266	380,000	0	533,537	45,895	959,432	1,348,698
Westside	871,932	775,000	53,100	484,126	15,440	1,327,666	2,199,598
Total	\$16,611,241	\$77,549,788	\$1,469,287	\$18,307,727	\$453,473	\$97,780,275	\$114,391,516

*Allocations reflect regional centers' contractual spending authority rather than actual expenditures.

Harbor w/o IP	\$463,453	\$400,000	\$29,200	\$1,054,012	\$19,800	\$1,503,012	\$1,966,465
Harbor, IP only	1,179,000	3,044,148	0	0	0	3,044,148	4,223,148
^{1/} Total Harbor	\$1,642,453	\$3,444,148	\$29,200	\$1,054,012	\$19,800	\$4,547,160	\$6,189,613

^{1/}Harbor Regional Center's CPP allocation includes funds for the Southern California Integrated Health and Living Project, also known as SCIHLP or IP. The IP is a Southern California regional center collaborative project focused on developing specialized community resources that will provide services to individuals across regional center boundaries.

**Department of Developmental Services
Fiscal Year 2016-17 Community Placement Plan (CPP) Allocations*
through the C-1 Contract 08/26/16**

	Operations	Purchase of Services (POS)					Total Ops + POS
		Start Up	Assessment	Placement	Deflection	Sub-Total	
	A	B	C	D	E	F = Sum(B:E)	G = A +F
Alta California	\$695,625	\$2,750,000	\$0	\$2,059,250	\$0	\$4,809,250	\$5,504,875
Central Valley	936,825	2,045,000	21,000	1,425,950	0	3,491,950	4,428,775
East Bay	1,675,700	6,000,000	0	1,517,325	0	7,517,325	9,193,025
Eastern L.A.	501,450	2,214,000	62,100	1,251,350	0	3,527,450	4,028,900
Far Northern	556,800	2,240,000	16,400	90,150	17,400	2,363,950	2,920,750
Lanterman	178,050	2,286,000	85,500	298,200	0	2,669,700	2,847,750
Golden Gate	1,269,500	8,825,000	0	3,419,125	10,400	12,254,525	13,524,025
Harbor ^{1/}	1,169,775	11,755,000	19,500	771,275	0	12,545,775	13,715,550
Inland	360,600	1,250,000	24,000	234,250	0	1,508,250	1,868,850
Kern	562,475	3,410,000	90,090	990,050	0	4,490,140	5,052,615
North Bay	1,528,825	8,100,000	0	3,820,550	0	11,920,550	13,449,375
North L.A.	423,425	845,000	121,000	759,825	26,250	1,752,075	2,175,500
Orange County	257,450	7,800,000	0	888,225	0	8,688,225	8,945,675
Redwood Coast	141,225	320,000	14,340	65,600	74,200	474,140	615,365
San Andreas	445,400	1,650,000	0	143,850	0	1,793,850	2,239,250
San Diego	1,202,225	3,325,000	236,000	661,050	0	4,222,050	5,424,275
San Gabriel	978,800	3,338,650	63,275	604,625	0	4,006,550	4,985,350
South Central L.A.	386,400	900,000	245,000	245,775	0	1,390,775	1,777,175
Tri-Counties	691,650	1,510,000	24,000	520,325	66,000	2,120,325	2,811,975
Valley Mountain	397,350	580,000	0	368,275	0	948,275	1,345,625
Westside	721,050	3,295,000	67,500	338,100	52,738	3,753,338	4,474,388
Total	\$15,080,600	\$74,438,650	\$1,089,705	\$20,473,125	\$246,988	\$96,248,468	\$111,329,068

*Allocations reflect regional centers' contractual spending authority rather than actual expenditures.

Harbor w/o IP	\$317,775	\$750,000	\$19,500	\$771,275	\$0	\$1,540,775	\$1,858,550
Harbor, IP only	852,000	11,005,000	0	0	0	11,005,000	11,857,000
^{1/} Total Harbor	\$1,169,775	\$11,755,000	\$19,500	\$771,275	\$0	\$12,545,775	\$13,715,550

^{1/}Harbor Regional Center's CPP allocation includes funds for the Southern California Integrated Health and Living Project, also known as SCHILP or IP. The IP is a Southern California regional center collaborative project focused on developing specialized community resources that will provide services to individuals across regional center boundaries.

**Regional Center
Full-time Equivalent Staff**

	Core Functions														Regional Center Total
	Service Coordination	Intake	Clinical Services	Community Service	Rights Assurance	Accounting/Fiscal	Administration and Governance	Compliance	Facilities Operation	Human Resources	Training	Information Technology	Office and Support Services	Other	
Alta	306.1	16.0	9.4	26.0	2.0	17.5	2.0	10.0	2.0	6.0	2.0	6.1	44.5	0.0	449.6
Central Valley	303.0	17.0	19.0	11.0	2.0	15.5	2.0	7.0	4.0	6.0	1.0	5.0	34.0	2.5	429.0
East Bay	280.0	13.0	24.3	22.5	2.6	20.0	2.0	13.0	1.0	3.0	2.0	6.0	40.4	0.0	429.8
East LA	128.8	13.3	8.7	27.3	1.5	17.4	1.0	50.1	1.0	2.0	5.5	4.0	22.9	0.2	283.4
Far Northern	114.3	8.5	4.6	10.8	1.2	17.1	2.0	5.9	1.0	2.8	2.0	5.8	18.2	0.0	194.2
Golden Gate	123.3	16.6	11.2	11.9	2.0	14.4	2.0	5.5	2.0	2.0	1.0	6.0	9.1	0.0	207.0
Harbor	196.7	11.0	5.7	21.1	1.0	13.8	3.7	3.9	1.0	2.8	2.3	5.0	31.0	3.2	302.1
Inland	496.0	22.0	16.5	33.5	3.5	39.8	3.5	25.5	4.3	6.0	1.0	9.0	53.3	4.0	718.0
Kern	88.5	3.0	10.0	5.0	1.0	13.0	1.0	3.0	1.0	2.0	0.0	3.0	11.5	0.5	142.5
Lanterman	145.5	8.5	5.5	10.9	0.6	14.5	4.5	7.0	2.5	2.0	2.0	5.5	12.5	6.1	227.6
North Bay	136.3	4.0	9.6	23.5	1.0	10.6	2.0	5.5	0.0	2.0	0.0	3.0	33.0	0.5	231.0
North LA	296.5	11.5	9.0	25.8	5.8	23.0	3.0	10.8	3.0	4.8	2.0	7.0	48.8	0.0	450.8
Orange	267.0	33.0	14.5	16.7	2.6	22.0	2.0	11.0	0.3	1.0	2.0	5.0	18.0	3.5	398.6
Redwood	66.5	2.5	4.0	10.3	0.1	8.0	2.0	4.5	2.0	1.0	0.0	1.0	18.1	1.1	121.0
San Andreas	179.0	9.0	20.0	20.0	3.0	18.0	4.0	10.0	0.0	2.0	0.0	3.0	17.0	1.0	286.0
San Diego	389.0	14.0	27.0	19.0	1.5	26.0	3.0	9.0	2.0	5.0	2.0	16.0	18.0	0.0	531.5
San Gabriel	230.5	18.0	11.0	27.5	3.0	18.5	3.0	6.0	2.0	4.0	0.0	7.0	33.3	2.0	365.8
South Central	207.5	14.0	7.0	15.0	2.8	15.8	3.0	2.8	1.3	2.0	1.0	5.0	34.0	3.0	314.0
Tri Counties	213.3	4.7	6.5	19.4	1.0	12.2	3.0	7.6	2.5	2.9	2.7	6.2	24.8	3.6	310.0
Valley Mt.	185.0	25.0	5.5	13.0	1.0	13.0	2.0	13.5	1.0	3.0	1.0	4.5	24.5	2.0	294.0
Westside	136.3	20.9	10.1	20.1	3.5	17.1	2.5	6.7	2.4	2.3	1.8	4.0	18.2	5.0	250.9
Total	4,488.9	285.5	238.9	390.2	42.7	367.0	53.2	218.1	36.2	64.5	31.3	116.9	565.0	38.1	6,936.5

Regional center-reported data as of December 31, 2016. Please refer to "Functional Operations Staffing Survey Methodology" for more details on core function categories.

Functional Operations Staffing Survey Methodology

DDS surveyed the 21 regional centers to determine the number of staff in each center. However, because the regional centers do not utilize standard position classifications, they worked in consultation with the Association of Regional Center Agencies (ARCA) to identify and classify into 14 categories the core functions they perform. DDS requested the regional centers report total full-time equivalent (FTE) positions, including contract and consultant staff, by these 14 categories as of December 31, 2016. One FTE is equivalent to one employee working full-time, while an employee working half-time equates to 0.5 FTE, and so forth.

DDS instructed the regional centers not to include positions that had been vacant for more than 60 days, or new positions established within the prior 60 days that were still vacant, unless the positions are in active recruitment.

Core Function Categories

Service Coordination

- All ongoing service coordination (including supervision) for Early Start and Lanterman-eligible individuals
- Includes Directors of Client Services and Assistant Directors of Client Services

Intake

- Intake workers and supervision, but not clinical services associated with intake

Clinical Services

- All clinical services, whether for intake, staff consultation, or any direct services paid out of operations

Community Services

- Resource development
- Quality assurance
- Public relations/outreach
- Interagency coordination and collaboration
- Vendorization and vendor coordination
- Management/Implementation of Community Placement Plan
- Consumer advocates
- Transportation Coordinators

Rights Assurance

- Fair hearings and complaints (including whistleblower) on behalf of the regional center
- Representation of individuals/families in other administrative hearings or appeals
- Working with the criminal justice system on behalf of individuals

Accounting/Fiscal

- Authorization and processing of payments for both POS and Operations
- Client revenue coordination, if applicable
- Rate table management

Functional Operations Staffing Survey Methodology

- Review, analysis, and processing of rate increases
- Regional center audits (CPA, DDS, other)
- Financial reporting
- Property custodian/inventory management
- CFO/Administrator and Controller and/or Supervisor positions
- Purchasing (OPS)
- Payroll processing
- Securing Business Insurance

Administration and Governance

- Executive Director
- Executive Secretaries

Compliance

- HIPAA compliance
- Compliance with TBL, DDS Contract, and Performance Contract Goals
- Processing Annual Family Program and Family Cost Participation Program fees
- Processing/analyzing special incident reports and performing risk mitigation
- Recertification of cases for the Medicaid Waiver
- Fiscal monitors
- Autism coordinator

Facilities Operation

- Management of the physical offices, including maintenance, remodels, expansions, and relocations

Human Resources

- Recruitment and hiring of employees
- Coordination of employee benefits (including Worker's Compensation claims)
- Union negotiations and labor relations, if applicable
- Administration of retirement benefits, including CalPERS, if applicable
- Caseload ratio monitoring and reporting

Training

- Provision or coordination of training for staff members, community members, vendors, individuals, and families on a variety of topics

Information Technology

- Management of the case management data base
- Management of the fiscal database
- Troubleshooting and repairing servers and desktop computers
- Website design, maintenance, and updating

Office and Support Services

- Electronic records storage
- Other office and supports services not included in another category

Other

- Family Resource Center, if applicable
- Foster Grandparent/Senior Companion programs, if applicable